ML4CC: Lecture 3

Sit with your discussion groups (same as last time)!

Assignments reminder

Keep doing your weekly PMIRO+Q

Your first coding assignment is due before the start of class on Feb 13.

Recap of previous paper

P: Need to be able to predict the energy consumption of commercial buildings based on their features

M: A wide variety of linear and nonlinear regression techniques applied to both "common" and "extended" CBECS features

I: Showing how these techniques can be applied outside the CBECS data (e.g. LL84 and Atlanta) and using feature importance to see which extended features might be worth collecting broadly

R: XGBoost performs best, and there is some benefit to including extended features.

O: May not generalize to other countries, still some bias in XGBoost errors and errors too large for individual buildings

Climate Change in the News

USDA orders removal of climate change mentions from public websites

The directive follows President Trump's orders reversing climate policies.

By Will Steakin January 31, 2025, 2:18 PM



According to the spreadsheet provided to website managers and obtained by ABC News, content is being categorized into three levels of urgency. Pages dedicated entirely to climate change are marked as "Tier 1," while those where a significant portion of the content relates to climate change are labeled "Tier 2."

Pages where climate change is mentioned in passing but is not the main focus should be identified under "Tier 3," according to the spreadsheet.

"For each piece of content, include the title, link, and your recommendation on how the content should be handled." the email reads.

The USDA has long been involved in climate research and in studying how rising temperatures and shifting weather patterns impact agriculture. The department's website includes extensive resources on climate science, carbon sequestration, and adaptation strategies for farmers.

The directive to remove mentions of climate change from websites follows President Donald Trump's <u>executive orders</u> reversing key climate policies, which include withdrawing from the Paris climate agreement, expanding fossil fuel production, weakening environmental protections, and revoking EV incentives.

Critics warn these actions will accelerate climate change and disproportionately harm vulnerable communities.

DOGE now has access to NOAA's IT systems; reviewing DEI program, sources say

At least one member of the DOGE was requested access.

By ABC Climate Unit February 5, 2025, 3:44 PM









DOGE was first denied access to the NOAA IT servers but now has access, according to two sources familiar with the situation. DOGE is looking for anything tied to DEI and whether they have removed anything DEI-related from bulletin boards, including posters and signs, the sources said. They also checked bathroom signs to ensure they complied with President Donald Trump's executive orders.

"Elon Musk and his DOGE hackers are ransacking their way through the federal government, unlawfully gaining unfettered access to Americans' private information and gutting programs people depend on," Lofgren and Huffman wrote in a press statement. "Now they have reached NOAA where they're wreaking havoc on the scientific and regulatory systems that protect American families' safety and jobs."

Personnel changes are being made at the agency, Democratic staff for the House Science, Space and Technology Committee told ABC News, adding that dismantling NOAA without an act of Congress would be unlawful. The committee is investigating efforts to remove employees or changes that would negatively impact the agency's mission and is trying to determine if this is "another USAID situation."

As of Wednesday midday, several key NOAA websites are currently down, including the one that stores global CO2 level data collected at the Mauna Loa Observatory, the longest-running CO2 dataset that goes back to 1958.

NOAA's public information officer at David Skaggs Research Center, which houses the Earth Systems Research Labs, said the offline websites are part of scheduled maintenance and that a power outage temporarily interrupted internet services.

Climate Change in the News

electrek ~

GREEN ENERGY ELECTREK GREEN ENERGY BRIEF EGEB SOLAR POWER NEW YORK

New York's largest solar farm is a go with \$950 million in funding





Clean energy investment manager Greenbacker Renewable Energy has secured \$950 million to build what will be New York State's largest solar farm.

Greenbacker acquired the 500-megawatt (MW) Cider project from renewable energy developer Hecate Energy. Work started in October, and the project is expected to come online in 2026.

Hecate announced on February 3 that the New York Office of Renewable Energy Siting and Transmission (ORES) has now formally issued a siting permit and a formal notice to proceed with construction.

"Greenbacker has called New York home for 14 years, and we're proud to be both the owner of the largest solar energy project in the state's history and a driving force in accelerating its ambitious clean energy goals," said Charles Wheeler, CEO of Greenbacker.



Paper 2 Discussion

Spotlight Talk at NeurIPS - Tackling Climate Change with Machine Learning workshop 2020

Interpretability in Convolutional Neural Networks for Building Damage Classification in Satellite Imagery

Thomas Y. Chen

The Academy for Mathematics, Science, and Engineering thomaschen7@acm.org

Abstract

Natural disasters ravage the world's cities, valleys, and shores on a regular basis. Deploying precise and efficient computational mechanisms for assessing infrastructure damage is essential to channel resources and minimize the loss of life. Using a dataset that includes labeled pre- and post- disaster satellite imagery, we take a machine learning-based remote sensing approach and train multiple convolutional neural networks (CNNs) to assess building damage on a per-building basis. We present a novel methodology of interpretable deep learning that seeks to explicitly investigate the most useful modalities of information in the training data to create an accurate classification model. We also investigate which loss functions best optimize these models. Our findings include that ordinal-cross entropy loss is the most optimal criterion for optimization to use and that including the type of disaster that caused the damage in combination with pre- and post-disaster training data most accurately predicts the level of damage caused. Further, we make progress in the qualitative representation of which parts of the images that the model is using to predict damage levels, through gradient-weighted class activation mapping (Grad-CAM). Our research seeks to computationally contribute to aiding in this ongoing and growing humanitarian crisis, heightened by anthropogenic climate change.

Attendance

Select one person from the group to be the attendance taker. Have them go to this Google Form and enter the netIDs of all members of the group who are present.

<u>https://forms.gle/SsipLSQjwQCneQvV9</u> (link is also in Brightspace under Syllabus content)

What dataset did the author use and what are two positive features of the dataset?

xBD: A Dataset for Assessing Building Damage from Satellite Imagery

Ritwik Gupta^{1,2} Richard Hosfelt^{1,2} Sandra Sajeev^{1,2} Nirav Patel^{3,4} Bryce Goodman^{3,4}
Jigar Doshi⁵ Eric Heim^{1,2} Howie Choset¹ Matthew Gaston^{1,2}

¹Carnegie Mellon University ²Software Engineering Institute ³Defense Innovation Unit

⁴Department of Defense ⁵CrowdAI, Inc.



Figure 2: Pre-disaster imagery (top) and post-disaster imagery (bottom). From left to right: Hurricane Harvey; Joplin tornado; Lower Puna volcanic eruption; Sunda Strait tsunami. Imagery from DigitalGlobe.

3.1. Multiple Levels of Damage

After discussions with disaster response experts from CAL FIRE and the California Air National Guard, it was clear that agencies did not currently have the capacity to classify multiple levels of damage. Many analysis centers simply label buildings as "damaged" or "undamaged" to reduce the amount of expert man-hours needed for assessment, even though it was clear that damage is not a binary status. Discerning between multiple levels of damage is a critical mission need, therefore xBD needed to represent a continuum of damage.

3.2. Image Resolution

Differences between levels of damage are often visually minute. To facilitate the labeling of these types of damage, supporting imagery must be of high fidelity and have enough discerning visual cues. We targeted satellite imagery to be below a 0.8 meter ground sample distance (GSD) mark to fulfill this requirement.

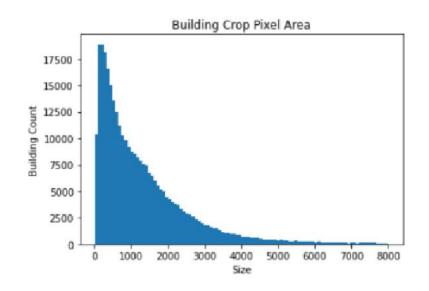
3.3. Diversity of Disasters

One goal of the xView 2 prize challenge is to output models that are widely applicable across a large number of disasters. This will enable multiple disaster response agencies to potentially reduce their workload by using one model with a known deployment cycle. xBD would need to be representative of multiple disaster types and not simply

Explain what the author is saying they are doing here, why they are doing it, and whether or not you think it is a good idea.

"The dataset consists of 1024 by 1024 pixel satellite images....We discard buildings that have a bounding box size of less than 2,000 pixels, as they are too small and blurred to be valuable training data, possibly hindering the model from achieving accurate results"

Discarding small buildings





area of 2000 pixels = ~44x44 pixels

or .2% of full 1024x1024 pixel image

While it is true that classifying the damage level of these small buildings would be difficult, they form a large fraction of the dataset, suggesting it is crucial to classify them.

Explain what the author is saying they are doing here, why they are doing it, and whether or not you think it is a good idea.

In order to maintain an equal distribution over JDS classification (damage level) in our training and validation sets so that we can properly assess model accuracy, we provide for an equal number of buildings of the categories "destroyed," "major damage," "minor damage," and "no damage" in each set, while still maintaining a 0.8:0.2 ratio between train and validation. The xBD dataset is deliberately created with a disproportionately large volume of buildings with no damage [10], but training on such a lopsided data distribution would yield artificially high accuracy numbers and not yield valuable results.

The original dataset is heavily imbalanced

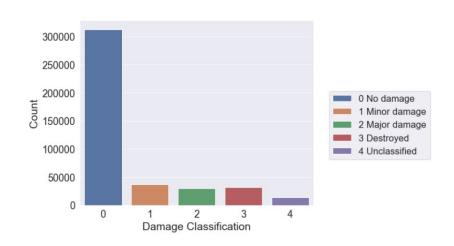


Figure 9: Distribution of damage class labels.

Accuracy can be high on imbalanced data just by chance.

Resampling the data to have balanced numbers in each class solves that problem, but the resampled data no longer represents the original problem.

There are other solutions to this problem...

What are the three loss functions tested here and how do they differ?

Loss functions

Basic cross entropy

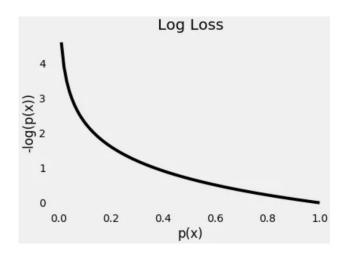
Captures classification performance, but treats each category as **totally separate**

model uses the cross-entropy loss function, which is defined as

$$-\sum_{c=1}^{4} y_{o,c} \log(p_{o,c}),$$
 *model outputs a vector

where $y_{o,c}$ is a binary indicator (either 0 or 1) of whether c, as a label, correctly classifies observation o, and $p_{o,c}$ is the predicted probability that observation o is of the class c. Cross-entropy loss is defined, in other terms, as the negative sum of the expression $y_{o,c} \log(p_{o,c})$ across all 4 possible classes c: no damage, minor damage, major damage, and destroyed. The network is trained on 12,800

$$H(P^*|P) = -\sum_{i} P^*(i) \log P(i)$$
TRUE CLASS DISTIRBUTION PREDICTED CLASS DISTIRBUTION



Loss functions

Mean-squared error

We define mean squared error as

$$\frac{1}{b} \sum_{i=1}^{b} (y - \hat{y})^2$$

*model outputs a scalar

where b is the batch size, y is the ground truth (a class from 0 to 3 representing each damage level), and \hat{y} is the prediction.

Incorporates a more natural relationship between classes, but doesn't account for the fact that true labels are discrete integers.

Loss functions

Ordinal cross entropy loss

level), and \hat{y} is the prediction. Ordinal cross-entropy loss differs from cross-entropy loss in that it takes into account the distance between the ground truth and the predicted class (hence "ordinal"). Since the building damage classification problem involves different and increasing levels of damage from no damage to destruction, this function is useful to distinguish between different categories. To implement ordinal cross-entropy loss as the loss function, we treat it as generic multi-class classification and encode the classes no damage, minor damage, major damage, and destroyed as [0, 0, 0], [1, 0, 0], [1, 1, 0], and [1, 1, 1], respectively [3]. The other aspects of the training process

*model outputs a vector

Allows for categories that have a natural ordering

What are two things the author did to try to understand which inputs are important for the model's function (i.e. make the models more "interpretable")? [hint: check the appendix for one of them]

Understanding the model by:

-Varying the inputs

Table 1: Comparison of Validation Accuracy on 9 Different Models

Model Accuracy on Validation Set with Chosen Loss (100 epochs)			
Model Input	Loss Function		
	Mean Squared Error	Cross-Entropy Loss	Ordinal Cross-Entropy Loss
Post-Disaster Image Only	45.3%	59.5%	64.2%
Pre-Disaster, Post-Disaster Images	50.2%	68.3%	71.2%
Pre-Disaster, Post-Disaster Images, Disaster Type	49.7%	72.7%	74.6%

Comparing the performance of a model with all available inputs to those with only a subset can help identify the importance of different inputs

...i.e., a feature importance method!

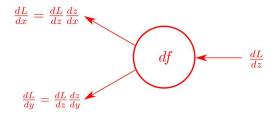
Understanding the model by:

Grad-CAM: Visual Explanations from Deep Networks via Gradient-based Localization

Ramprasaath R. Selvaraju · Michael Cogswell · Abhishek Das · Ramakrishna Vedantam · Devi Parikh · Dhruy Batra

Uses gradient calculations to identify which units are most important for classifying an image as of a certain class.

Backwardpass



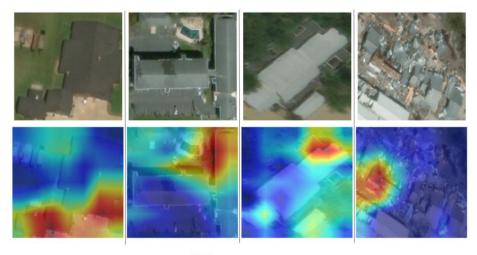
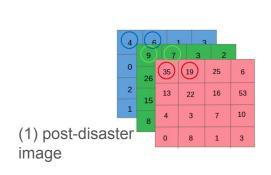


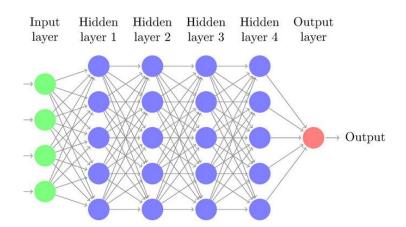
Figure 1: Gradient class activation maps [20] depict which parts of the building crop lead the baseline model to predict a certain classification. On the first row are the original images (crops) and on the second row are the corresponding gradient class activation maps. The images included consist of solely post-disaster images. From left to right: (1) A building with label "no damage," after flooding in the Midwestern United States, (2) A building with label "minor damage," after Hurricane Michael, (3) A building with label "major damage," after Hurricane Harvey, and (4) A building with label "destroyed," after Hurricane Michael.

How specifically were each of the three inputs given to the model?

Inputs

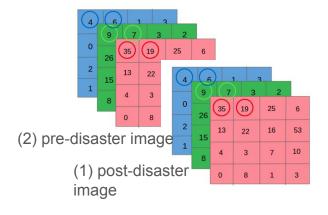
the type of disaster (e.g. volcano, wind, etc.) that caused the building damage. To train a model that takes in both pre-disaster images and their corresponding post-disaster images, we concatenate the RGB channels of the two and use that as input. To train a model that takes in the pre-disaster image, post-disaster image, and disaster type, we do the same, but also concatenate a one-hot encoded representation of the disaster type in one of the later layers of the CNN.

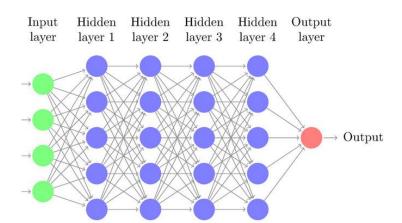




Inputs

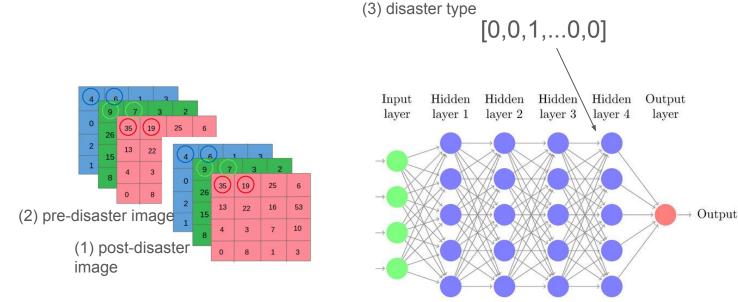
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Inputs

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What is notable about the institution that the author is at?

What is notable about the institution that the author is at?

Thomas Y. Chen

The Academy for Mathematics, Science, and Engineering thomaschen7@acm.org

Academy for Mathematics, Science, and Engineering

Article Talk

From Wikipedia, the free encyclopedia

The **Academy for Mathematics, Science, and Engineering (AMSE)** is a four-year magnet public high school program intended to prepare students for STEM careers. Housed on the campus of Morris Hills High School in Rockaway, in the U.S. state of New Jersey, it is a joint endeavor between the Morris County Vocational School District and the Morris Hills Regional District.

6 Acknowledgements

The author thanks Ethan Weber (Massachusetts Institute of Technology) for his mentorship during the ideation, experimental design, and overall research processes. The author also thanks Climate Change AI (CCAI) and the NeurIPS 2020 CCAI Workshop Organizing and Program Committees.

Share what questions you wrote in your PMIRO+Q and decide as a group what you'd like to ask.

Update your PMIRO+Q

Submit a second file to the Brightspace assignment (don't overwrite the original):

It should:

Update your PMIRO as needed

Answer your own Q

You can be talking with your group during this!

15 min break

Lecture

Climate change content: Impacts of climate change on oceans, Remote Sensing Earth Observation

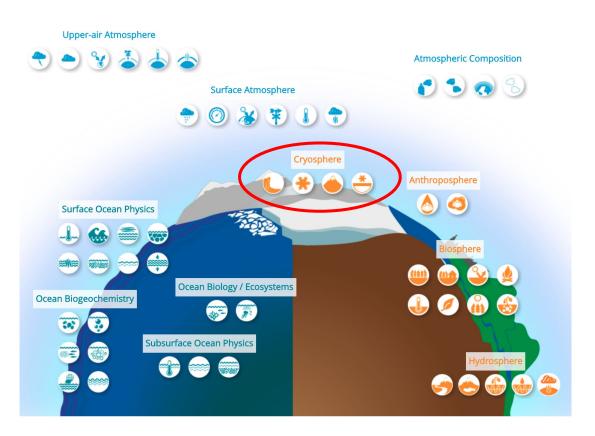
Machine learning content: Convolutional neural networks, Image segmentation

Essential Climate Variables

Essential variables (EV) are variables known to be critical for observing and monitoring a given facet of the Earth system.

Many fields such as oceanography, climatology, biodiversity studies, and geodiversity have come together to identify these variables.

Having a common set of accurate and sustained measurements with standards for data collection and dissemination ensures the usability of data across multiple platforms and agencies.







FREQUENTLY ASKED QUESTIONS

GEOLOGY

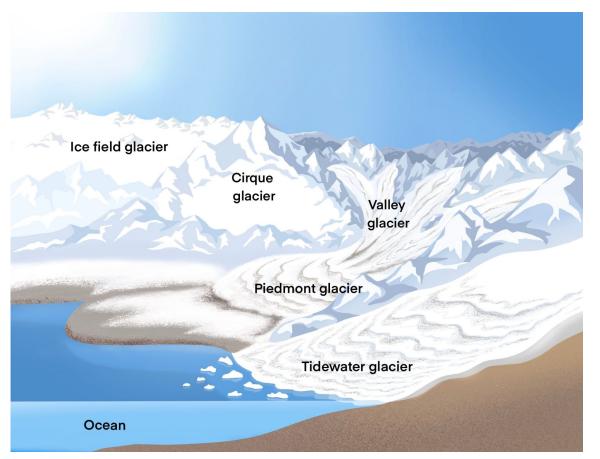
What is a glacier?

A glacier is a large, perennial accumulation of crystalline ice, snow, rock, sediment, and often liquid water that originates on land and moves down slope under the influence of its own weight and gravity. Typically, glaciers exist and may even form in areas where:

- 1. mean annual temperatures are close to the freezing point
- 2. winter precipitation produces significant accumulations of snow
- temperatures throughout the rest of the year do not result in the complete loss of the previous winter's snow accumulation

Over multiple decades this continuing accumulation of snow results in the presence of a large enough mass of snow for the metamorphism from snow to glacier ice process to begin. Glaciers are classified by their size (i.e. ice sheet, ice cap, valley glacier, cirque glacier), location, and thermal regime (i.e., polar vs. temperate). Glaciers are sensitive indicators of changing climate.





Cirque glaciers are named for the bowl-like hollows they occupy, which are called cirques. Typically, they are found high on mountainsides and tend to be wide rather than long.

Piedmont glaciers occur when valley glaciers spill into relatively flat plains

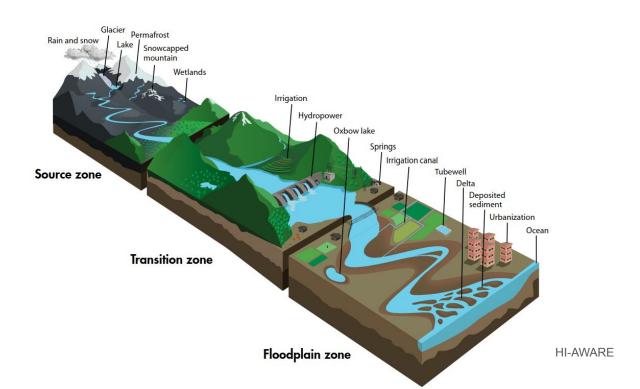
How are glaciers impacted by climate change?



Glaciers retreat and melt in warmer climates

Why does glacier retreat matter?

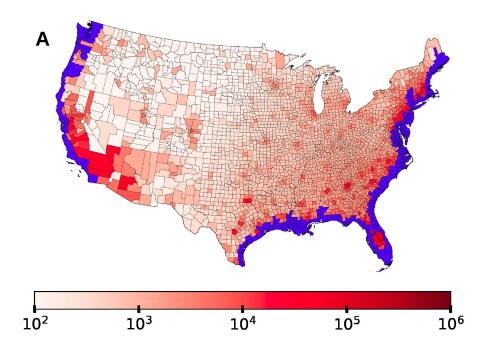
Glaciers are an important source of freshwater



Why does glacier retreat matter?



Direct and indirect effects of sea level rise on migration



All counties that experience flooding under 1.8m of sea level rise (SLR) by 2100 in blue. Remaining counties are colored based on the number of additional incoming migrants per county that there are in the SLR scenario over the baseline.

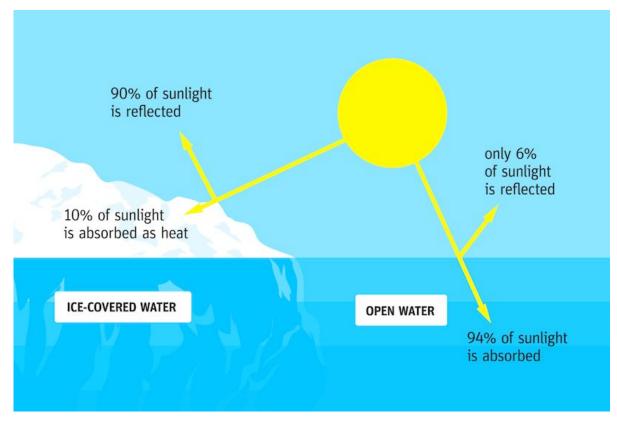
⑥ OPEN ACCESS PEER-REVIEWED RESEARCH ARTICLE

Modeling migration patterns in the USA under sea level rise

Caleb Robinson, Bistra Dilkina , Juan Moreno-Cruz

Published: January 22, 2020 • https://doi.org/10.1371/journal.pone.0227436

Feedback loop: Melting glaciers cause more warming



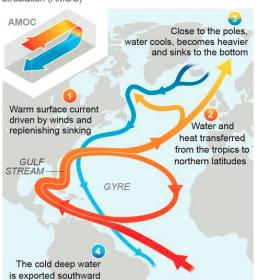
Melting glaciers also impacts ocean currents

Will the Gulf Stream shut down?

The Gulf Stream, a warm current, is expected to weaken but not cease. This slowdown will affect regional weather and sea level.

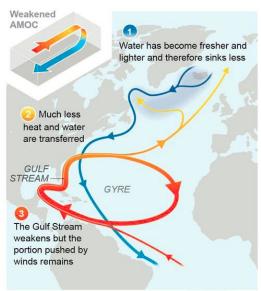
Today

The Gulf Stream is part of both the horizontal, subtropical gyre and the vertical, Atlantic Meridional Overturning Circulation (AMOC)



In a warmer world

Climate change weakens the AMOC, which slows the Gulf Stream down



Melting glaciers make water fresher (i.e. less salty) and less dense. Therefore it doesn't sink in the same way as salt water. By weakening this sinking behavior, climate change weakens ocean currents that are driven by such "overturning"

Melting glaciers also impacts ocean currents

Will the Gulf

The Gulf Stream, weather and sea

Today

The Gulf Stream is gyre and the vertica Circulation (AMOC)



Warm surface cur driven by winds a replenishing sinki

GULF STREAM—

The cold deep was is exported southward

If the AMOC shuts down it could cause:

Significant cooling over parts of Europe by as much as 5 or 10 degrees Celsius.

A shift in the position of tropical rain belts

More droughts in some places and more flooding in others

Rising sea levels in North America

A decrease in oxygen and CO2 storage in the ocean, impacting marine life.

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Earth Observation

"the use of remote sensing technologies to monitor land, marine (seas, rivers, lakes) and atmosphere." -EUSPA

Can be applied to a wide variety of climate-related questions (and non-climate related)

Relies in large part on publicly and privately run satellite systems

Remote Sensing

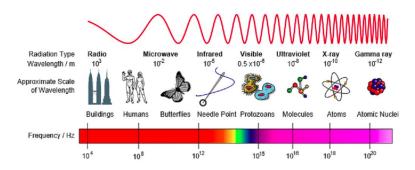


Features of satellite imagery

Spatial resolution: what size on the ground does each pixel correspond to Temporal resolution: how frequently does the satellite revisit the same location Spectral resolution: how many/which spectral bands does the satellite collect

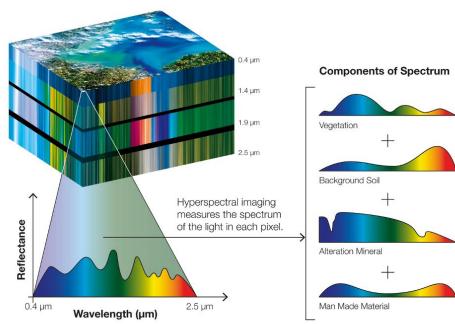
Multi/Hyper Spectral satellites

Collect data across many wavelengths, not just the standard RGB



Information from other wavelengths can help identify properties of the materials in the image

Hyperspectral Imaging Technology



Copyright © 2014 Boeing. All rights reserved.

Sentinel-2 Satellite

Run by the European Space Agency

10m spatial resolution (for RGB bands)

Revisits every 5 days

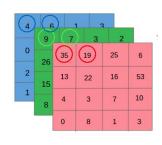
13 spectral bands

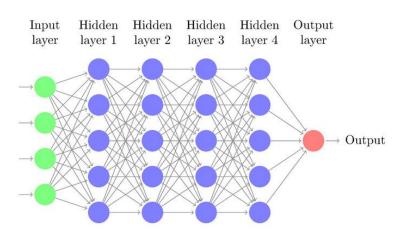


Sentinel-2 Bands	Central Wavelength (µm)	Resolution (m)	
Band 1 - Coastal aerosol	0.443	60	
Band 2 - Blue	0.490	10	
Band 3 - Green	0.560	10	
Band 4 - Red	0.665	10	
Band 5 - Vegetation Red Edge	0.705	20	
Band 6 - Vegetation Red Edge	0.740	20	
Band 7 - Vegetation Red Edge	0.783	20	
Band 8 - NIR	0.842	10	
Band 8A - Vegetation Red Edge	0.865	20	
Band 9 - Water vapour	0.945	60	
Band 10 - SWIR - Cirrus	1.375	60	
Band 11 - SWIR	1.610	20	
Band 12 - SWIR	2.190	20	

Sentinel-2 produces about a terabyte of data per day

Images can be fed into artificial neural networks





Images can be fed into artificial neural networks

Input Hidden Hidden Hidden Output layer layer 1 layer 2 layer 3 layer 4 layer

Convolutional Neural Networks are artificial neural networks with a specific *architecture* that is well suited to image processing

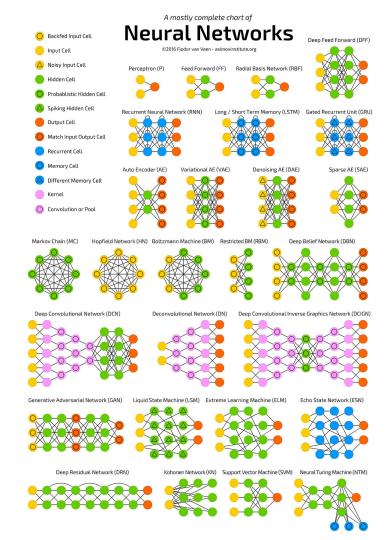
Neural network architectures

Defined by:

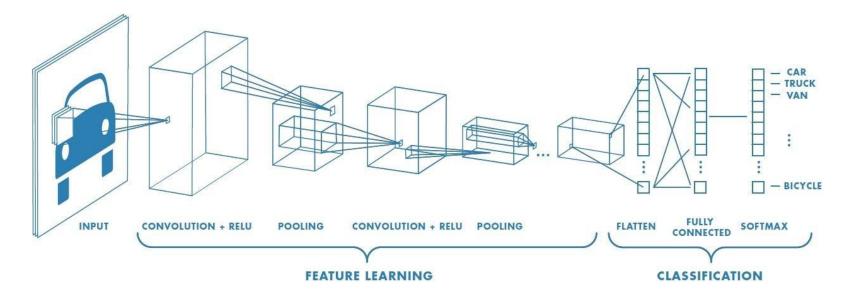
How many layers and units per layer

What activation functions are used

Any constraints on connections



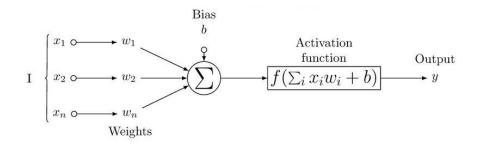
Convolutional neural networks



Uses convolution and pooling operations

In a convolution the same 2-D grid of weights (called a 'filter') is applied to each location in the image.

Each application of the filter provides the input to a unit at the next layer.



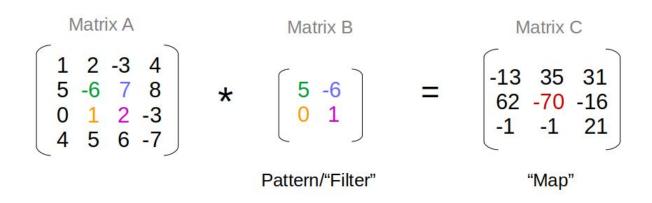
1,	1,0	1,	0	0
0,×0	1,	1,0	1	0
0,1	0,0	1,	1	1
0	0	1	1	0
0	1	1	0	0

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		50.0	
	8		10

Convolved Feature

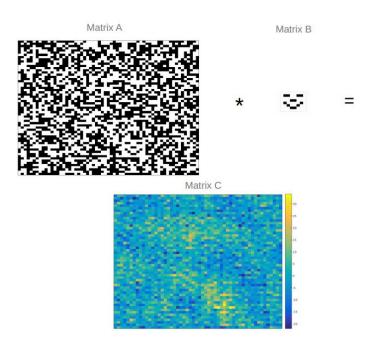
Values are highest where the image is most similar to the filter. In this way, convolutions are pattern detectors



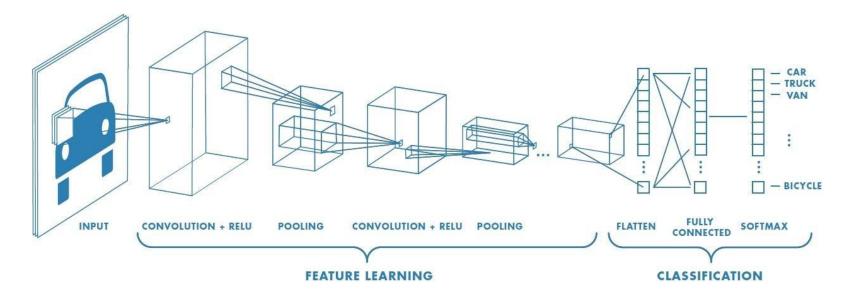
Values are highest where the image is most similar to the filter. In this way, convolutions are pattern detectors



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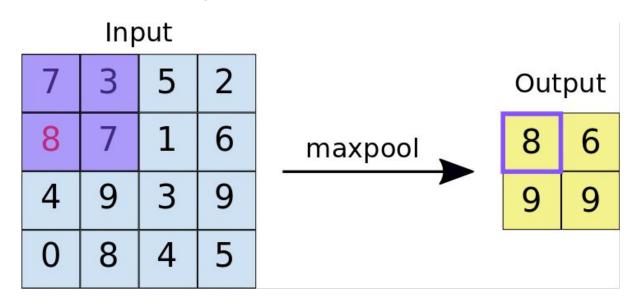
Convolutional neural networks



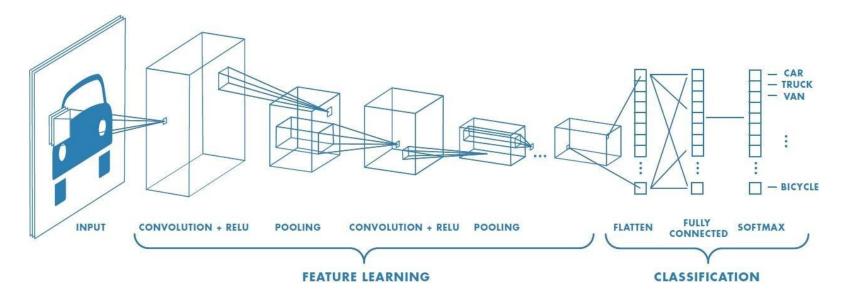
Uses convolution and pooling operations

Pooling

Pooling simply takes the largest value in a specific region of the layer below. This reduces the size of the map/layer.

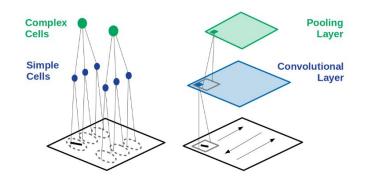


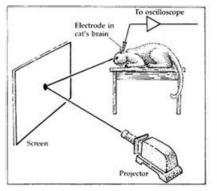
Convolutional neural networks



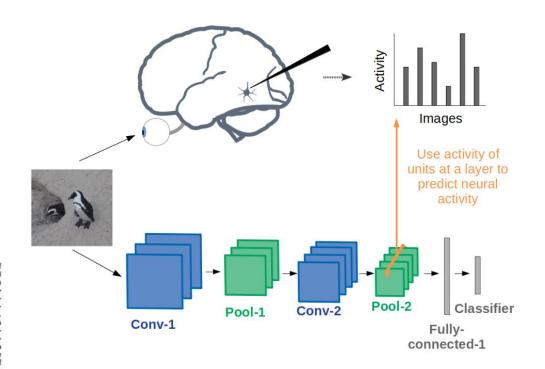
When stacked these operations extract complex spatially invariant image features that can be used for classification

CNNs are inspired by the brain's visual system

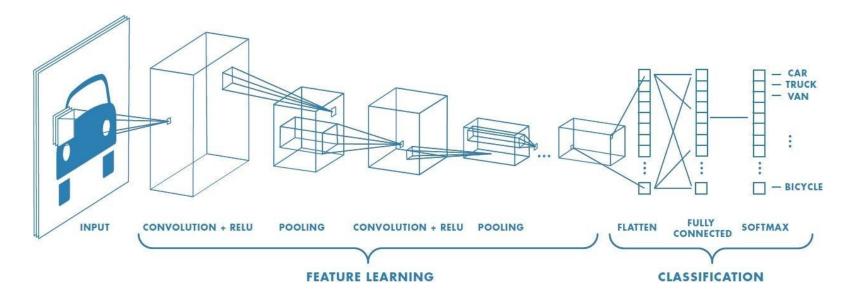




STIMULATION OF RETINA with patterns of light. The eyes of an anesthetized, light-adapted cat (or monkey) focus on a screen onto which various patterns of light are projected. Alternatively, a TV screen is used, with patterns generated by a computer. An electrode records the responses from a single cell in the visual pathway. Light (or shadow) falling onto a restricted area of the screen may accelerate (excite) or slow (inhibit) the signals given by a neuron. By determining the areas on the screen from which a neuron's firing is influenced, one can delineate the receptive field of the cell. The positions of cells in the brain and the tracks of electrode penetrations can be reconstructed histologically after the experiment.



Convolutional neural networks



This architecture works for *classification* problems, but what about *segmentation*?

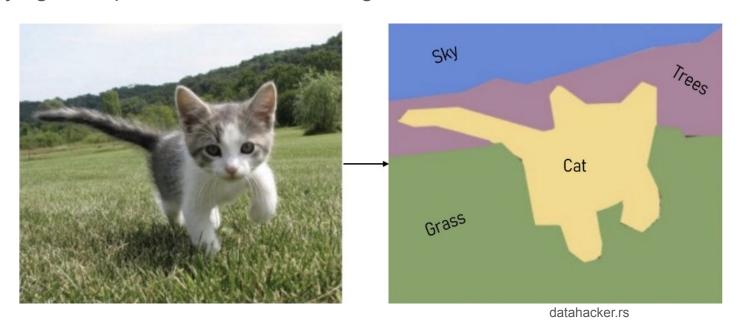
Image Segmentation

Classifying each pixel, based on the image as a whole



Image Segmentation

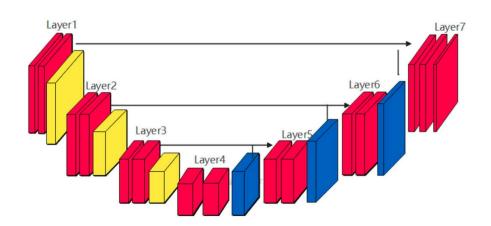
Classifying each pixel, based on the image as a whole



The U-Net architecture is used for segmentation

The U-net is like a CNN and a reversed CNN stitched together

It outputs a segmentation mask.



Conv+BatchNormalization+ReLU

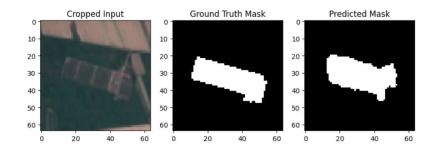
Pooling operation

Upsampling Layer

→ Skip-Connection

Binary classification performance can be assessed according to:

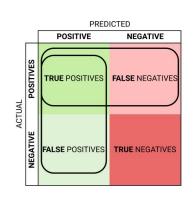
Accuracy: percentage of pixels correctly classified

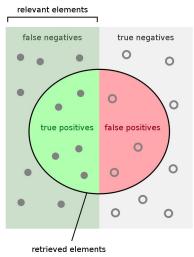


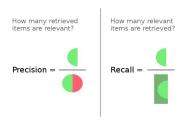
Binary classification performance can be assessed according to:

Accuracy: percentage of pixels correctly classified

Precision and Recall: gives more insight into types of error







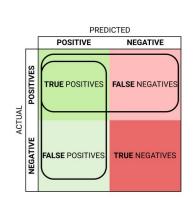
Binary classification performance can be assessed according to:

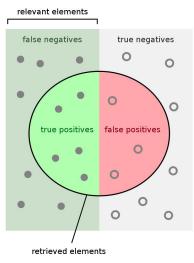
Accuracy: percentage of pixels correctly classified

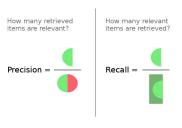
Precision and Recall: gives more insight into types of error

F1 Score: summary of P & R

 $2\frac{\text{precision} \cdot \text{recall}}{\text{precision} + \text{recall}}$



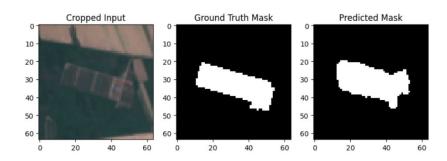


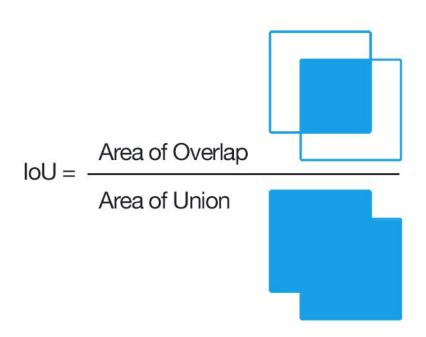


More specific metric:

Intersection Over Union (IoU)

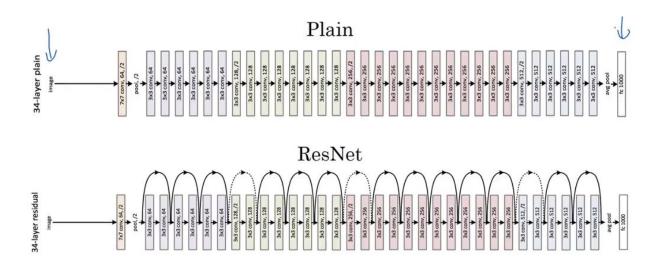
1 is best





For your reading:

"ResNet" is a particular CNN architecture. It comes in various sizes.



For your reading:

NASADEM is a surface elevation map collected via remote sensing

